



9. CORPORATE CITIZENSHIP

The Firm understands how important it is to be a good corporate citizen and to give back to the community. As a general practice, the Firm is active in many charities within the communities in which we serve and we consistently give hundreds of thousands of dollars to countless non-profit groups and charitable organizations each year. We take pride in being a cornerstone in communities where our employees live and Loop Capital Markets conducts business.

The Firm and its employees are actively involved in the Los Angeles community through direct investment, volunteer programs and philanthropic contributions. Since January 2011, Loop Capital Markets has been involved with a number of charitable programs and organizations that lend helping hands to the City and the surrounding area. Some examples include: The Posse Foundation, The League of United Latin American Citizens (LULAC) National Educational Service Center, Inc., L.A. Plaza De Cultura y Arte-Museum, Salesian High School, The Leukemia & Lymphoma Society, Center for Civic Participation Children's Educational Program, Women Against Gun Violence, Habitat for Humanity, South Central Scholars, and the Anti-Defamation League.

As a minority-owned investment bank, Loop Capital Markets has a long history of promoting and supporting diversity. Recruiting and retaining women and minorities is of great importance to the future of our business, our clients and our community. However, given the nature of our work as an underwriter, the number of subcontractors, suppliers and outside vendors with which we interact is very limited. Realistically, the only potential opportunity for utilizing or subcontracting an outside M/W/D business enterprise could be, if selected to serve as senior managing underwriter, the Firm's underwriter's counsel. On past engagements, Loop Capital Markets has advocated and selected an M/W/D business enterprise to serve as underwriter's counsel.